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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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AUG 25 2003

TECH CENTER 1600/2900

In Re Application of:  
M. Seul *et al.*

Serial No. 09/688,573

Filed: 10/17/2000

For: Light-Controlled Electrokinetic  
Assembly of Particles Near Surfaces

Group Art Unit:

Examiner:

Commissioner for Patents  
PO Box 1450  
Alexandria VA 22313-1450

Dear Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), applicants submit the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed (as checked below):

☐ within three months of the filing date of the above-referenced application

☐ within three months of the date of entry of the national stage in a § 371 application; or

☒ before the mailing of a first Office Action on the merits for the above-referenced application.

Only copies of non-US patent documents are included. Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached PTO 1449 form.

**N/A: English translations of the non-English documents are attached.**

**\_\_\_ The following is a concise statement of the relevance of the non-English language documents.**

**\_\_\_ In lieu of a statement of relevance or translation of the non-English language documents, an English language version of a search report from a foreign patent office in a corresponding application citing these documents and setting forth the relevance thereof is enclosed.**

This submission does not represent that: (i) Applicant has read or analyzed any of the documents cited herein, except as otherwise provided; (ii) a search has been conducted; (iii) that no other documents exist which may be material to the examination of this application exist; or (iv) that any of the listed documents, or any part thereof, are material or constitute prior art under title 35 of the United States Code. Applicant reserves the right to present evidence and arguments to counter an assertion that any such documents are prior art, and/or to establish the patentability of the claimed invention.

Respectfully Submitted,

BY: 

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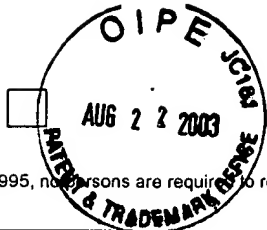
Applicants) hereby petitions for any extension of time or for any other grounds needed to make this submission timely and proper. The Commissioner is hereby authorized to charge any fees due in connection with this submission and not otherwise covered by payment included herewith, or to credit any overpayment, to Deposit Account No. 502088.

I hereby certify that, on the date indicated below, this correspondence and all attachments indicated herein are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria VA 22313-1450

By: 

Date: 8/20/03

Please type a plus sign (+) inside this box ☐



PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

SHEET  OF

*Complete if Known*

Application Number: 09/668,573

Filing Date: 10/17/00

First Name and Inventor: M. S. ul, et al.

Group Art Unit: 1641

Examiner Name:

Attorney Docket Number: LEAPS 1005

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Examin er Initials	U.S. Documents		U.S. Patent Document Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
	Number			
	6,083,763		Balch	7/4/2000
	6,172,218 B1		Brenner	1/9/2001
	5,415,835		Brueck, et al.	5/16/1995
	6,429,027 B1		Chee et al.	8/6/2002
	5,532,128		Eggers et al.	7/2/1996
	5,516,635		Ekins et al.	5/14/1996
	5,961,923		Nova et al.	10/5/1999

## **FOREIGN DOCUMENTS**

Examiner Initials	Foreign Patent Documents			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation
	Office	Number	Kind Code (if known)			
		WO 00/04372		Anstyn, et al.	1/27/2000	
		WO 99/60170		Boier, et al.	11/25/1999	
		WO 98/40726		Walt, et al.	2/8/2000	
		WO 00/03004		Fechter, et al.	1/20/2000	
		WO 00/75373		Walt, et al.	12/14/2000	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.